

1 **IN THE UNITED STATES DISTRICT COURT**
2 **FOR THE DISTRICT OF PUERTO RICO**

3 ELMER C. RODRIGUEZ CARABALLO

4 Petitioner

5 v.

6 UNITED STATES OF AMERICA

7 Respondent

CIVIL NO. 04-2192 (JAF)

8
9 **REPORT AND RECOMMENDATION**

10 Before the Court is petitioner's Section 2255 Motion (Docket No. 1). In the same, he
11 contends that trial counsel was ineffective by failing to properly investigate his criminal history.
12 This resulted in an additional fourteen (14) months being added to his sentence, which was the result
13 of a plea agreement. This resulting prejudice, petitioner claims, entitles him to a new sentence,
14 which does not take into account the extra period of incarceration, or, alternatively, to his plea being
15 vacated.

16 In order to sustain a claim of ineffective assistance of counsel, petitioner must show
17 prejudice, as well as demonstrate that counsel's performance fell below an objective standard of
18 reasonable effectiveness. Strickland v. Washington, 466 U.S. 668, 688-689 (1984). An error of
19 counsel, even if professionally unreasonable, does not warrant setting aside the judgment of a
20 criminal proceeding if the error had no effect on the judgment. Id. at 691-692.

21 In the underlying criminal case (98-0267 (JAF)), the transcript of the change of plea hearing
22 reveals that Judge Fusté informed petitioner that if his criminal history was II, his guideline range
23 would be 121 - 151 months. (Tr. at page 13 lines 2-5 (Docket No. 191)). Petitioner's counsel,
24 however, stated that there was a possibility that his criminal history category be higher, as would
25 his sentencing range. Id. at page 13 lines 9-16; page 15 lines 8-10. Judge Fusté then noted for the
26 record that there was no stipulation as to petitioner's criminal history, despite any tentative
27 agreement by the parties; the matter had to be investigated by the probation officer. Id. at 17 lines
28 11-18.

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3 The above plea colloquy belies petitioner's allegations that counsel failed to correctly advise
4 him about his criminal history. To the contrary, petitioner was well aware that the same was
5 contingent on the final outcome of the probation investigation. Thus, his claim of ineffective
6 assistance fails.¹

7 Further, the Court notes that counsel's performance did not fall below an objective standard
8 of reasonableness. Here, petitioner pled guilty to a plea agreement, which as recognized by Judge
9 Fusté, greatly limited his penal exposure. See change of plea transcript at 20 lines 24-25, 21 lines
10 1-5. More so, aside from his present argument, petitioner does not contend that the change of plea
11 process itself was constitutionally flawed in any way, in violation of Fed. R. Crim. P. 11.

12 Lastly, the Court notes that at the change of plea hearing, petitioner was well advised by
13 Judge Fusté that assuming his criminal history were II, the guideline range would be 121-151
14 months. See Tr. at 17 lines 7-18. Here, the sentence imposed under a higher criminal history
15 category (135 months) nonetheless falls within the guideline range he expected would apply. In
16 addition, at the change of plea hearing, Judge Fusté explained that petitioner's guideline range would
17 be determined at the time of sentencing, and that the Court retained discretion to sentence petitioner
18 above the guideline range. See Id. Tr. at 10 lines 7-16, 18-22.

19 Accordingly, petitioner's 2255 motion (Dkt. No. 1) must be **DENIED**.

20 Under the provisions of 28 U.S.C. § 636 and Rule 72(d), Local Rules, District of Puerto
21 Rico, any party who objects to this report and recommendation must file a written objection thereto
22 with the Clerk of the Court within ten (10) days of the party's receipt of this report and
23 recommendation. The written objections must specifically identify the portion of the
24 recommendation, or report to which objection is made and the basis for such objections. Failure to
25 comply with this rule precludes further appellate review. See Thomas v. Arn, 474 U.S. 140, 155
(1985), reh'g denied, 474 U.S. 1111(1986); Davet v. Maccorone, 973 F.2d 22, 30-31 (1st Cir. 1992).

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27 ¹ See, e.g., United States v. Reyes, 208 F. 3d 228 (table), 2000 WL 289618 * 4 (10th Cir.
28 2000) (rejecting similar claim and noting that, regardless of counsel's advice or lack thereof,
petitioner was well aware that his criminal history would affect his sentence).

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2 **SO RECOMMENDED.**

3 In San Juan, Puerto Rico, this 30th day of April, 2005.

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5
6 */s/ Gustavo A. Gelpi*

7 **GUSTAVO A. GELPI**
8 United States Magistrate-Judge
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